

Fill in the form on your computer or legibly by hand.  
Sign the application form and send in the original.

Skickas till | Send to  
**Bolagsverket**  
851 81 Sundsvall

### 1. Anmälan gäller | Application regarding

- Registrering av anknutet ombud | Registration of a tied agent
- Ändring av tidigare registrerade uppgifter, skriv ändringen: | Change of previous registered information, state the change:  
\_\_\_\_\_
- Avregistrering av anknutet ombud | Deregistration of a tied agent

### 2. Värdepappersinstitut | Securities institute

Företagsnamn   Business name		Organisationsnummer   Registration number	
Postadress   Postal address			
Postnummer   Postcode	Postort   Post town	Telefonnummer   Phone number	
Land   Country	E-postadress   E-mail address		

### 3. Kontaktperson på värdepappersinstitutet | Contact person in the securities institute

Kontaktpersonens förnamn och efternamn   First name and surname of the contact person	Telefonnummer   Phone number daytime	Deposit account no. (3 digits)
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### 4. Filial | Branch

Företagsnamn   Business name		Organisationsnummer   Registration number	
Postadress   Postal address			
Postnummer   Postcode	Postort   Post town	Telefonnummer   Phone number	
Land   Country	E-postadress   E-mail address		

### 5. Anknutet ombud | Tied agent Natural person or legal entity.

Ombudets förnamn och efternamn eller företagsnamn   First name and surname or business name of the agent		Pnr/org.nr   Personal identity number/registration number	
Postadress   Postal address			
Postnummer   Postcode	Postort   Post town	Telefonnummer   Phone number	
Land   Country	E-postadress   E-mail address		

### 6. Land inom EES | Country within the EEA

Land   Country
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### 7. Registreringsavgift | Registration fee Pay the fee when you submit the application. State personal identity no. or registration no. when paying.

Betalt belopp   Amount paid	Datum   Date of payment	Betalsätt   Method of payment		
		<input type="checkbox"/> Bank giro 5050-0255	<input type="checkbox"/> Plusgiro 95 06 08-0	<input type="checkbox"/> Cheque

**8. Verksamhet | Business activities**

	Eventuell beskrivning   Description, if applicable
<input type="checkbox"/> Marknadsföra investerings- eller sidotjänster   Market investment or side services	
<input type="checkbox"/> Ta emot eller vidarebefordra instruktioner eller order avseende investeringstjänster eller finansiella instrument   Receive or forward instructions or orders regarding investment services or financial instruments	
<input type="checkbox"/> Placera finansiella instrument   Place financial instruments	
<input type="checkbox"/> Ge investeringsrådgivning avseende finansiella instrument eller investeringstjänster   Give investment advice regarding financial instruments or investment services	

**9. Övrigt | Other matters**

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**10. Underskrift | Signature** The form must be signed by a board member or the managing director of the securities institute. Important: Write in blue ink.

Datum   Date	Namnteckning   Signature	Namnförtydligande   Clarification of signature

## Information

**Use this form when you want to register that an agent is to be tied to a foreign securities institute, report changes or deregister an agent. When the registration has been made we shall send you an extract of the register (in Swedish). More information on [www.bolagsverket.se](http://www.bolagsverket.se).**

A tied agent with his/her place of business in Sweden must be registered with Bolagsverket. The securities institute contracting the tied agent must report this.

### 1. Application regarding

State the subject of your application.

### 2. Securities institute

Fill in the business name and address details for the securities institute.

#### Attachments

- A certified copy of the certificate of registration or an equivalent document for the foreign securities institute. The certificate should not be older than six months.
- A certified copy of a document showing that the signing person is an authorized signatory of the securities institute if this is not evident from the certificate of registration.
- Information from Finansinspektionen (the Financial Supervisory Authority) that notification has been received by the company (certified copy) or information from an appropriate authority in the home country that notification has taken place (certified copy).

### 3. Contact person in the securities institute

Fill in name and telephone number for the contact person. If the enterprise has a deposit account with Bolagsverket and wants to use this for payment of the registration fee, you must also fill in the three-digit account number.

### 4. Branch

If the securities institute has a branch in Sweden, you must state the business name and address details for the branch.

### 5. Tied agent

Fill in name and address details for the tied agent.

#### Attachments, when applicable

If the tied agent is a foreign legal entity with place of business in Sweden, you must submit

- Information on the business name of the branch, the registration number, postal address and telephone number.
- A certified copy of the certificate of registration or equivalent document for the tied agent. The certificate should not be older than six months.

If the tied agent is not domiciled in Sweden, a certified copy of the passport or other identity document must be sent in.

### 6. Country within the EEA

State the country within the European Economic Area (EEA) in which the tied agent is carrying on business. Is only to be filled in if registration is not permitted in the country of place of business of the tied agent.

### 7. Registration fee

Fill in when and how you paid the fee. To enable us to match the fee with your application you must fill in your personal identity number or registration number when paying. The fee cannot be refunded once we have started the examination of your application.

### 8. Business activities

State the services (business activities) to be rendered by the tied agent.

### 9. Other matters

Fill in other information here.

### 10. Signature

A board member or the managing director of the securities institute must sign this form.

### References

The Securities Market Act (2007:528)